

Individual broker registration

As part of the registration process, please complete the below information and return to **brokersupport@krbs.com**
Completion of this form will allow us to satisfy required due diligence checks and process your registration.

Name

FCA number

Please answer the below questions

Are you aware of any pending FCA disciplinary action being taken against you or your company? Yes No

Have you or your company had a complaint from the FOS or any other regulatory body upheld against you within the last 12 months? If **“Yes”** please provide further details in the box below. Yes No

Do you have a complaints policy? Yes No

Do you have a process in place to maintain your professional competence? Yes No

Have you been declared bankrupt within the last 6 years? If **“Yes”** please complete the **‘Additional Bankruptcy Questions’** on the next page Yes No

Have you or your company ever been declined appointment to or removed from a Lender Panel (excluding a lender data cleanse due to business volumes)? If **“Yes”** please provide further details in the box below. Yes No

Additional notes

Preferred mortgage club

3mc

Brilliant solutions

Dynamo

Finova

Legal & General

Next Intelligence

Paradigm

PMS

Simplybiz

TMA

Additional bankruptcy questions

Please only complete the remainder of this document if you answered "Yes" to the bankruptcy question.

Bankruptcy date

Date of discharge

Circumstances of bankruptcy

Further details of exact circumstances required

Financial services related?

Amount of bankruptcy?

Voluntary payment towards clearing bankruptcy or auto discharged?

Do you have any panel exclusions as a result of the bankruptcy?

Yes No

If "Yes" please provide further details in the box below.

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